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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Schwalbe et al. Attorney Docket No. CELL0013
Serial No.: 09/578,224 Group Art Unit: 1764
Filed: May 24, 2000 Examiner:
Title: MODULAR CHEMICAL PRODUCTION SYSTEM INCORPORATING A
MICROREACTOR

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

November 15, 2002

TO THE DIRECTOR OF THE PATENT AND TRADEMARK OFFICE:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. _____ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided _____.
- ☐ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☒ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. ☒ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. _____ the fee set forth in 37 C.F.R. § 1.17(p). Check No. _____ in the amount of \$ _____ is enclosed.

1 6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed
2 after the mailing date of either:

3 a. _____ a final action under 37 C.F.R. § 1.113; or

4 b. _____ a notice of allowance under 37 C.F.R. § 1.311,

5 but before payment of the issue fee. The statement is accompanied by a
6 certification as specified in 37 C.F.R. § 1.97(e), a petition requesting
7 consideration of the information disclosure statement, and the petition fee set
8 forth in 37 C.F.R. § 1.17(i)(1). Check No. _____ in the amount of
9 \$_____ is enclosed.

10 X 7. Please charge any additional fees or credit any overpayment to Deposit Account
11 No. 01-1940. A copy of this sheet is enclosed.

12 Respectfully submitted,

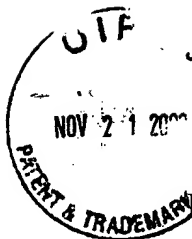
13 *Ron Anderson*

14 Ronald M. Anderson
15 Registration No. 28,829

16 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed
17 envelope as first class mail with postage thereon fully prepaid addressed to: Director of the Patent and
18 Trademark Office, Arlington, VA 22202, on November 15, 2002.

19 Date: November 15, 2002

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5 Title: MODULAR CHEMICAL PRODUCTION SYSTEM INCORPORATING A
6 MICROREACTOR

7 CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

8 Bellevue, Washington 98004

9 November 15, 2002

10 TO THE DIRECTOR OF THE PATENT AND TRADEMARK OFFICE:

11 The undersigned hereby certifies that no item of information in the Second Supplemental
12 Information Disclosure Statement filed herewith was cited in a communication from a foreign Patent
13 Office in a counterpart foreign application, or to my knowledge, after making reasonable inquiry,
14 was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the
15 filing of this Information Disclosure Statement.

16 Respectfully submitted,

17 *Ron Anderson*

18 Ronald M. Anderson
19 Registration No. 28,829

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